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SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. Cboe BYX Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. MON AREA COMMISSION Class of New Derivative Securities Product: 3. See Attached. Name of Underlying Instrument: 4. See Attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Ticker Symbol(s) of New Derivative Securities Product: 6. Market or Markets Upon Which Securities Comprising Underlying Instrument 7. Trades: See Attached. Settlement Methodology of New Derivative Securities Product: 8. Trades Locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not Applicable. 17003753 Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has unit approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: SVP, Associate General Counsel Telephone Number: 913-815-7154 Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form: Public Date: Availability: October 31, 2017

## \* ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON CBOE BYX EXCHANGE, INC. COMMENCING ON OCTOBER 23, 2017

	(2) Type of			(7) Market(s) upon Which Securities Comprising	<u>(5)</u>
(6) Ticker	<u>Issuer of</u>		(4) Name of Underlying	Underlying Instrument	Broad or
<u>Symbol</u>	NDSP	(3) Class of NDSP	Instrument	Trades	Narrow
		Investment Company			
AIEQ '	Trust	Units	Equity Securities	U.S.	Broad
MMIN	Trust	Investment Company Units	Municipal Securities Covered by Insurance Policies	U.S.	Broad
мміт	Trust	Investment Company Units	Municipal Bonds	U.S.	Broad



SEC Mail Processing Section

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Washington DC 411

October 31, 2017

Ms. Claudette Ransom Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

Form 19b-4(e) - Cboe BYX Exchange, Inc.

Securities and Exchange Commission

Trading and Markets

NOV 07 2017

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Ms. Ransom,

RE:

On behalf of Cboe BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on Cboe BYX Exchange on October 23, 2017. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

SVP, Associate General Counsel

913.815.7154